



Simfund FundFiling

Track trends and stay ahead of your competition with Simfund's comprehensive current and historical database extracted from the SEC EDGAR filings.

FundFiling is a SaaS-based SEC EDGAR filing retrieval system uniquely focused on mutual funds and ETFs. Updated daily, the FundFiling library contains all documents pertinent to the mutual fund industry - prospectuses, semi/annual reports, proxies, and more.

Asset managers and many service companies use FundFiling for:

- **Product Development:** Immediate access to existing fund information and new market opportunities
- **Fees and Expense Research:** Contract details, sub-advisory arrangements, service features
- **Distribution/Sales Competitive Intelligence:** Up-to-date coverage of changes to competitors' fees, investment strategies, and portfolio managers
- **Legal Research:** Legal language, variations, and changes

SIMFUND FILING™

Fund Changes New Registrations SEC Filings Filings Insight

Fund Changes | View Summary
25 Fund Changes Found

Company	Profile	Special Strategies	Change Type	Effective Date	Filing Date	Prospectus Date
Lee Finl Group HI	Hawaii Municipal		Fund Reorganization	12/04/2023	11/29/2023	11/28/2022
Delaware	Delaware High Yield Opportunity	✓	Portfolio Manager	11/29/2023	11/29/2023	11/28/2022
Aperture Investors	Aperture New World Opportunities	✓	Liquidation	01/31/2024	11/29/2023	01/27/2023
Fidelity	Fidelity New Millennium ETF	✓	Fund Name	02/26/2024	11/29/2023	11/24/2023

Stay Current with FundFiling

The Daily Alert Service monitors changes in mutual funds and ETFs across 497-filings (supplements to definitive prospectuses) on a daily basis and extracts and categorizes pertinent information. Summary reports are also provided and highlight monthly trends.

The New Fund/Class Tracking System contains information on new filing registrations in a concise profile format.

Stay Ahead of the Market

Find new opportunities and respond to changes quickly with real-time monitoring of product development trends and competitor fund changes. Easy and straight forward to use, FundFiling provides a variety of ways to search the data such as by fund name, policy name, filing date and key terms.



Gain Competitor Intelligence

Identify competitors' strategic responses to market conditions with daily tracking of new fund registrations and changes to existing funds. See changes to advisory fees, waivers, portfolio managers, sub-advisors, fund and class reorganizations, and much more (50+ change categories).



Identify Trends

Leverage a combination of data and research from Simfund and FundFiling's historical database to gain context around market responses to fund and ETF changes. Measure investor reaction to material fund changes and modify your offerings to stay ahead of the market.



Optimize Product Offerings

Perform power searches by manager, product type, and asset category to discover effective ways to grow market share, grow profitability, or mitigate the effects of failing funds. Get the information needed to rationalize and strengthen product lineups.



Real-Time Tracking Across 50+ Categories

Monitor product development and share class pricing trends as seen in daily SEC filings, including:

- New competitive fund and ETF registrations
- New share class offerings
- Sub-advisory changes
- Changes to advisory fee schedules
- Portfolio manager changes
- Strategy changes and special event filings



Custom Alerts & Notifications

Receive customized email notifications on funds of your choice using specific criteria, including:

- Asset Class
- Investment Objective
- Investment Vehicle
- Managers
- Service Providers
- Portfolios

About ISS Market Intelligence



ISS Market Intelligence (MI) is a leading provider of data, insights, and market engagement solutions to the global financial services industry. ISS MI empowers asset and wealth management firms, insurance companies, distributors, service providers, and technology firms to assess their target markets, identify and analyze the best opportunities within those markets, and execute on comprehensive go-to-market initiatives to grow their business. Clients benefit from our increasingly connected global platform that leverages a combination of proprietary data, powerful analytics, timely and relevant insights, in-depth research, as well as an extensive suite of industry-leading media brands that deliver unmatched market connectivity through news and editorial content, events, training, ratings, and awards.